

Evaluating Mixed and Augmented Reality: A Systematic Literature Review (2009–2019)

SUPPLEMENTAL MATERIAL

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Abstract

We present a systematic review of 458 papers that report on evaluations in mixed and augmented reality (MR/AR) published in ISMAR, CHI, IEEE VR, and UIST over a span of 11 years (2009–2019). Our goal is to provide guidance for future evaluations of MR/AR approaches. To this end, we characterize publications by paper type (*e.g.*, technique, design study), research topic (*e.g.*, tracking, rendering), evaluation scenario (*e.g.*, algorithm performance, user performance), cognitive aspects (*e.g.*, perception, emotion), and the context in which evaluations were conducted (*e.g.*, lab vs. in-the-wild). We found a strong coupling of types, topics, and scenarios. We observe two groups: (a) technology-centric performance evaluations of algorithms that focus on improving tracking, displays, reconstruction, rendering, and calibration, and (b) human-centric studies that analyze implications of applications and design, human factors on perception, usability, decision making, emotion, and attention. Amongst the 458 papers, we identified 248 user studies that involved 5,761 participants in total, of whom only 1,619 were identified as female. We identified 43 data collection methods used to analyze 10 cognitive aspects. We found nine objective methods, and eight methods that support qualitative analysis. A majority (216/248) of user studies are conducted in a laboratory setting. Often (138/248), such studies involve participants in a static way. However, we also found a fair number (30/248) of in-the-wild studies that involve participants in a mobile fashion. We consider this paper to be relevant to academia and industry alike in presenting the state-of-the-art and guiding the steps to designing, conducting, and analyzing results of evaluations in MR/AR.

Keywords: Mixed and Augmented Reality, Evaluation, Systematic Literature Review.

Index Terms: I.3.7 [Computing Methodologies]: Computer Graphics—Three-Dimensional Graphics and Realism; H.5.2 [Information Systems]: Information Interfaces and Presentation—User Interfaces; A.1 [General Literature]: Introductory and Survey—

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1 THE 458 PAPERS INCLUDED IN OUR STUDY

Venue	2019	2018	2017	2016	2015	2014	2013	2012	2011	2010	2009	Total
ISMAR	[12, 47, 53, 54, 64, 66, 88, 106, 146, 189, 190, 213, 223, 230, 241, 246, 257, 266, 290, 297, 347, 363, 364, 370, 376, 385, 387, 388, 397, 434, 435, 437]	[32, 36, 38, 55, 58,93,95, 130, 142, 147, 154, 155, 178, 179, 193, 211, 218, 240, 250, 265, 292, 308, 312, 321, 326, 329, 334, 338, 345, 404, 419, 430, 447]	[19, 30, 40,44,57, 72,82,87, 114, 118, 136, 163, 200, 227, 238, 248, 279, 311, 328, 337, 342, 360, 365, 383, 410, 416, 425, 427, 438, 456]	[2, 8, 14, 62, 103, 107, 134, 161, 170, 192, 205, 212, 247, 313, 323, 324, 333, 357, 361, 362, 415, 426, 442, 451]	[5, 31, 110, 135, 138, 185, 188, 222, 262, 276, 280, 286, 295, 317, 332, 336, 341, 380, 381, 392, 441, 448]	[25, 48, 51,65,73, 76,80,84, 109, 112, 127, 137, 160, 162, 202, 225, 229, 237, 255, 261, 198, 234, 242, 307, 325, 331, 335, 348, 359, 374, 391, 414, 453, 454]	[10, 33, 61, 75, 78, 94, 98, 100, 113, 115, 145, 187, 202, 225, 169, 175, 180, 197, 306, 314, 378, 401, 315, 373, 384, 390, 424, 439]	[15, 39, 56,79,90, 98, 100, 122, 139, 182, 186, 199, 219, 236, 271, 284, 302, 318, 344, 378, 401, 413, 432, 443, 445]	[4, 9, 28, 45,60,71, 116, 120, 133, 167, 181, 204, 224, 239, 260, 267, 273, 274, 291, 294, 305, 327, 366, 393, 458]	[17, 27, 29, 50, 85, 96, 99, 121, 141, 148, 150, 156, 166, 176, 196, 217, 231, 316, 319, 349, 382, 444, 452, 457]	[6,16,24, 49, 111, 119, 157, 164, 168, 173, 203, 214, 264, 270, 293, 301, 355, 358, 394, 396, 400, 407, 421]	296
CHI	[26, 35, 159, 165, 183, 201, 206, 232, 245, 252, 272, 310, 322, 353, 386, 402, 403, 406, 412, 436]	[22, 34, 105, 184, 194, 216, 259, 298, 309, 339, 405]	[41, 69, 83, 104, 126, 209, 226, 254, 289, 340, 356, 408, 450]	[21, 70, 92, 123, 153, 253, 268, 346]	[11, 102, 244, 277, 330, 395, 455]	[46, 117, 228, 235, 398, 417]	[13, 140, 296, 369]	[20, 108, 124, 149, 210, 275, 288, 371]	[220, 258, 399]	[367, 375, 428]	[63, 158, 171, 172, 420]	88
IEEE VR	[18, 43, 74, 129, 144, 151, 174, 177, 191, 243, 251, 256, 282, 343, 352, 354, 372, 379, 409, 433, 446]	[7, 42, 52,59,97, 249, 303, 411, 422, 423, 431]	[68]	[195]	[101, 132, 368]	[152]	[418]		[125, 283]	[81, 263, 299, 304]	[215]	46
UIST	[1, 91, 208, 320, 389, 440]	[37, 128]	[67, 207, 221, 351, 449]	[131, 143, 281]	[269]	[23, 77, 86, 89, 233, 278, 377]	[3]	[350, 429]		[287]		28
Total	79	57	49	36	33	46	32	35	30	32	29	458

Table 1: The 458 papers included in our study.

2 TIME INDEPENDENT VISUALIZATIONS

2.1 Venues, Paper Types, Research Topics, Evaluation Scenarios, Cognitive Aspects, Study Configurations

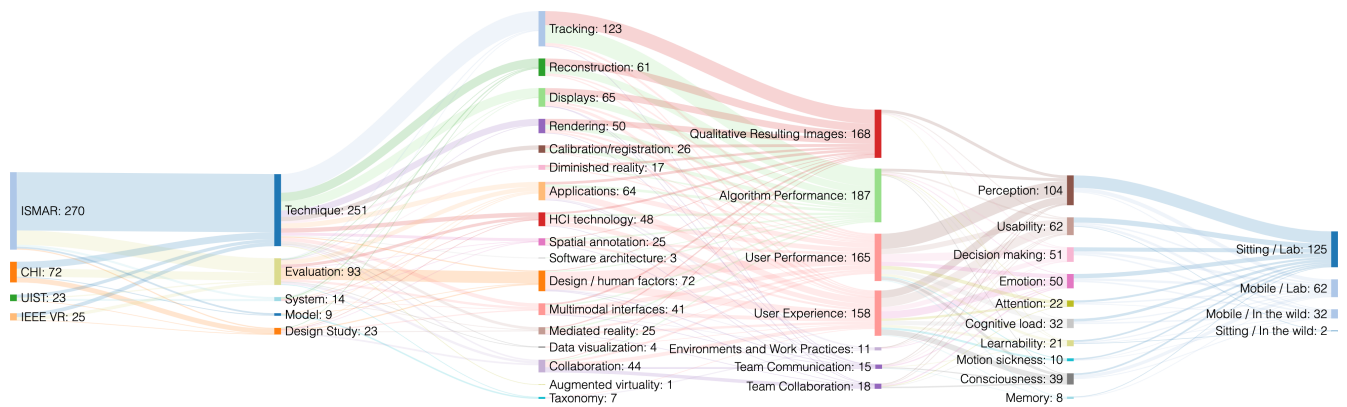


Figure 1: The 458 papers that report on evaluations in mixed and augmented reality (MR/AR) published in ISMAR, CHI, IEEE VR, and UIST between 2009 and 2019 classified by (left-to-right): venue, paper type, research topic, evaluation scenario, cognitive aspect involved in user studies, and study configuration (conducted in the lab or in-the-wild while participants were static or mobile).

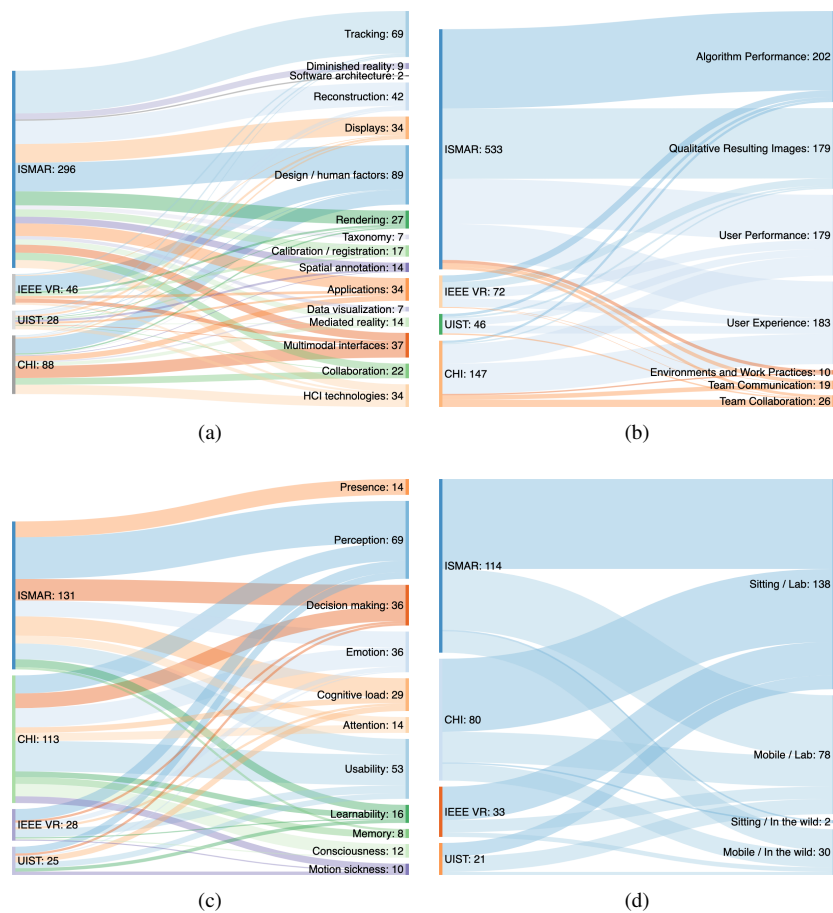


Figure 2: Topics of interests, evaluation scenarios, cognitive aspects, and configurations of user studies by venue.

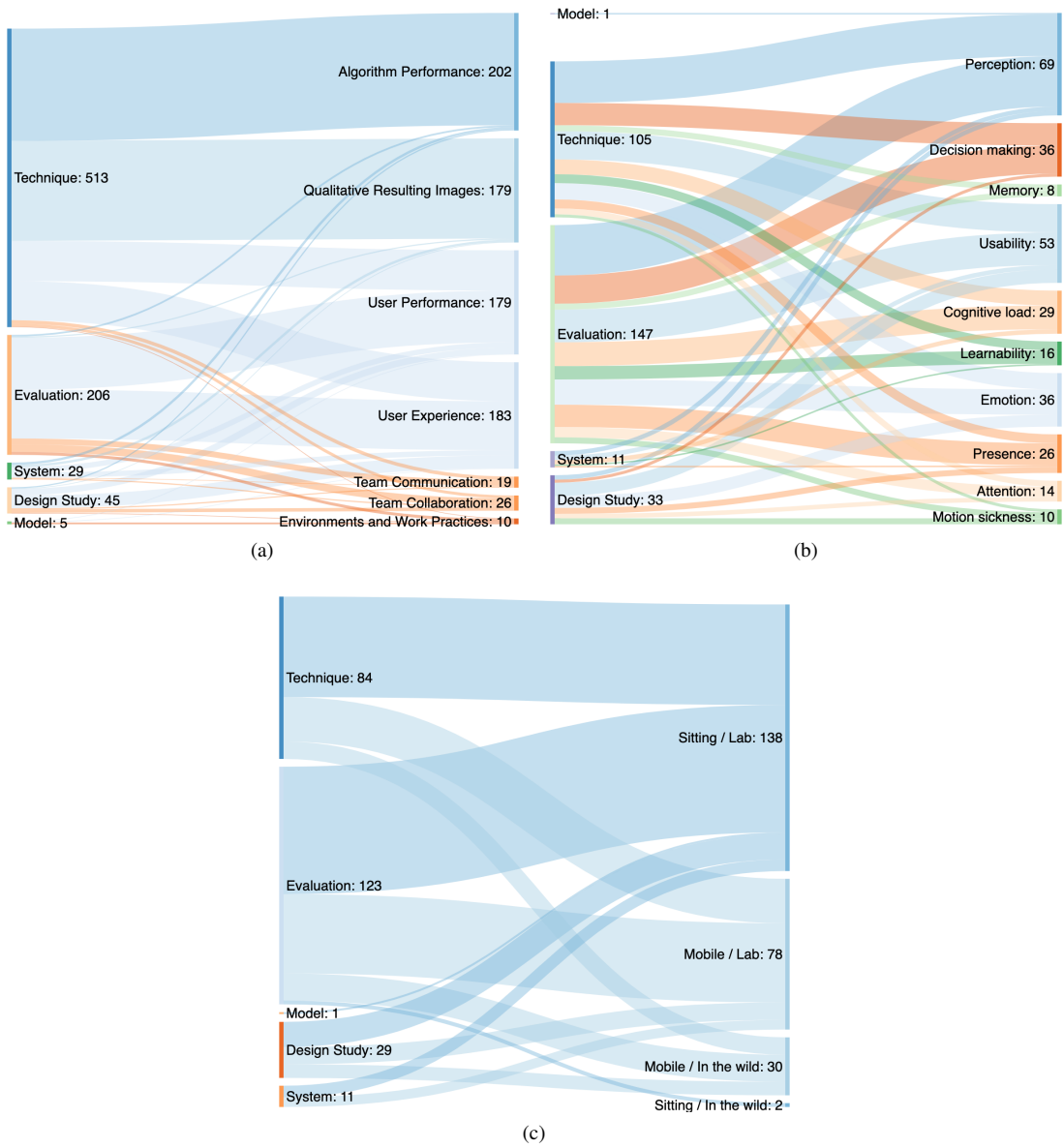


Figure 3: Evaluation scenarios, cognitive aspects, and configurations of user studies by paper types.

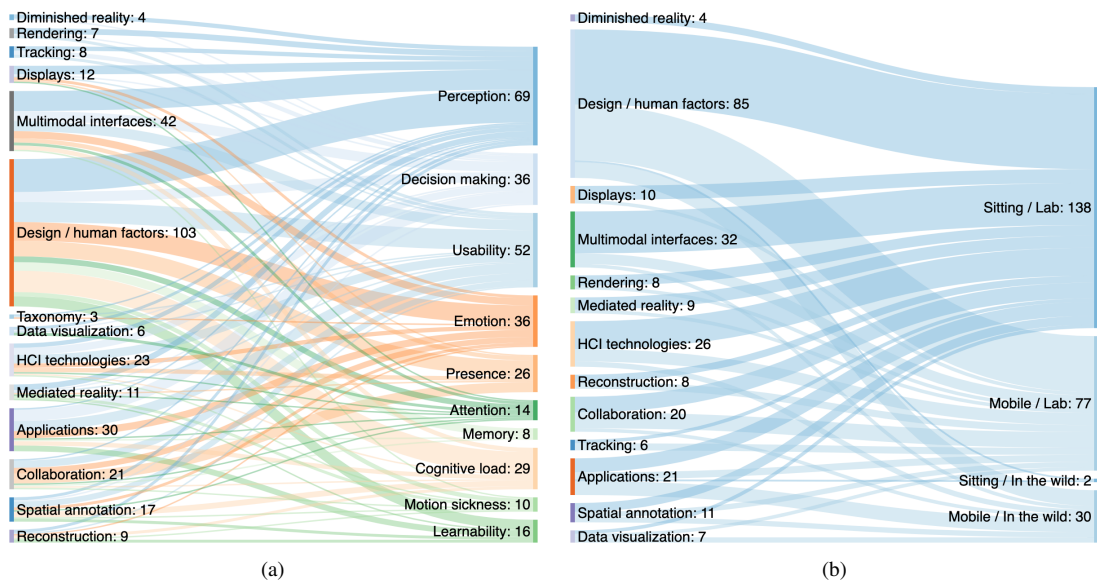


Figure 4: Evaluation scenarios, cognitive aspects, and user study configuration by topics of interest.

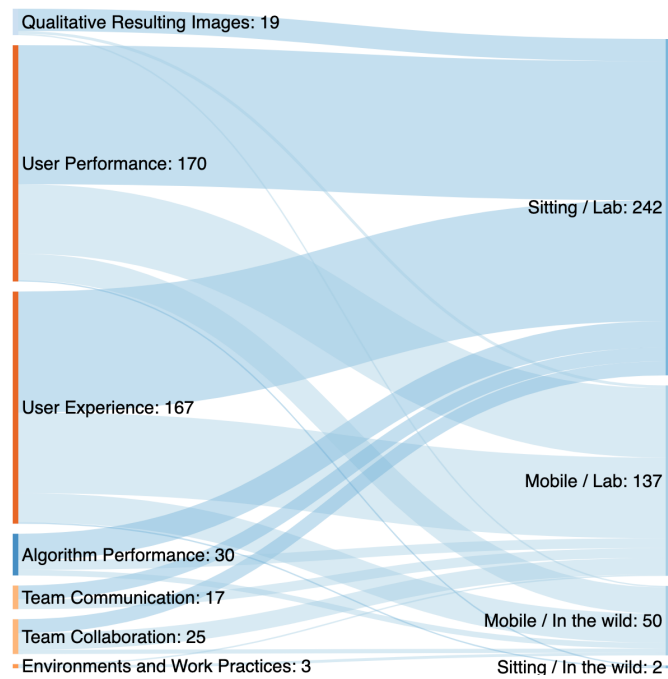


Figure 5: Configurations of user studies by evaluation scenarios.

2.2 Paper Types by Venue

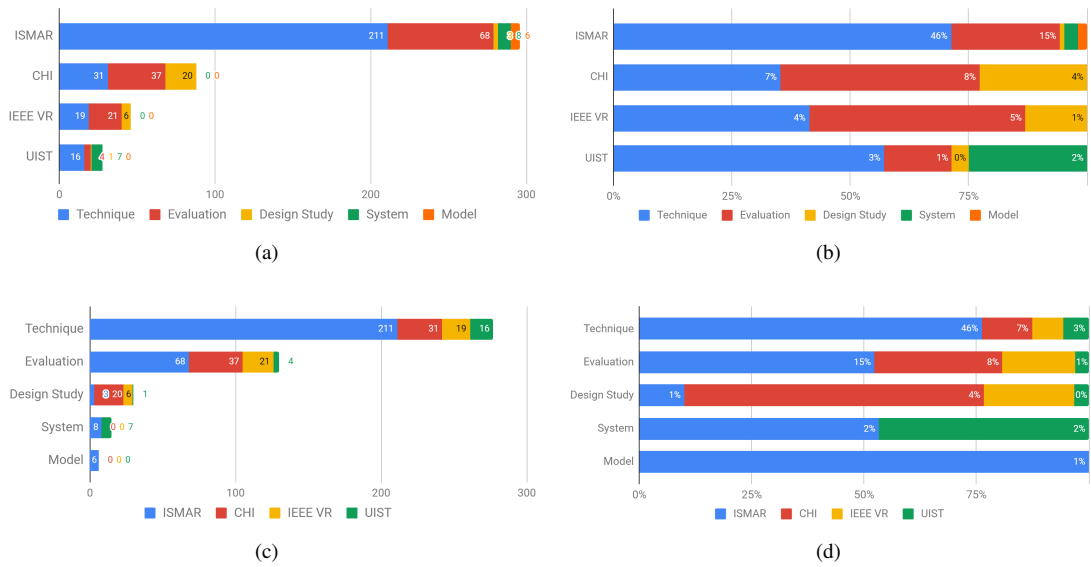


Figure 6: Absolute number and percentage of papers by venue and type.

2.3 Venue by Topics

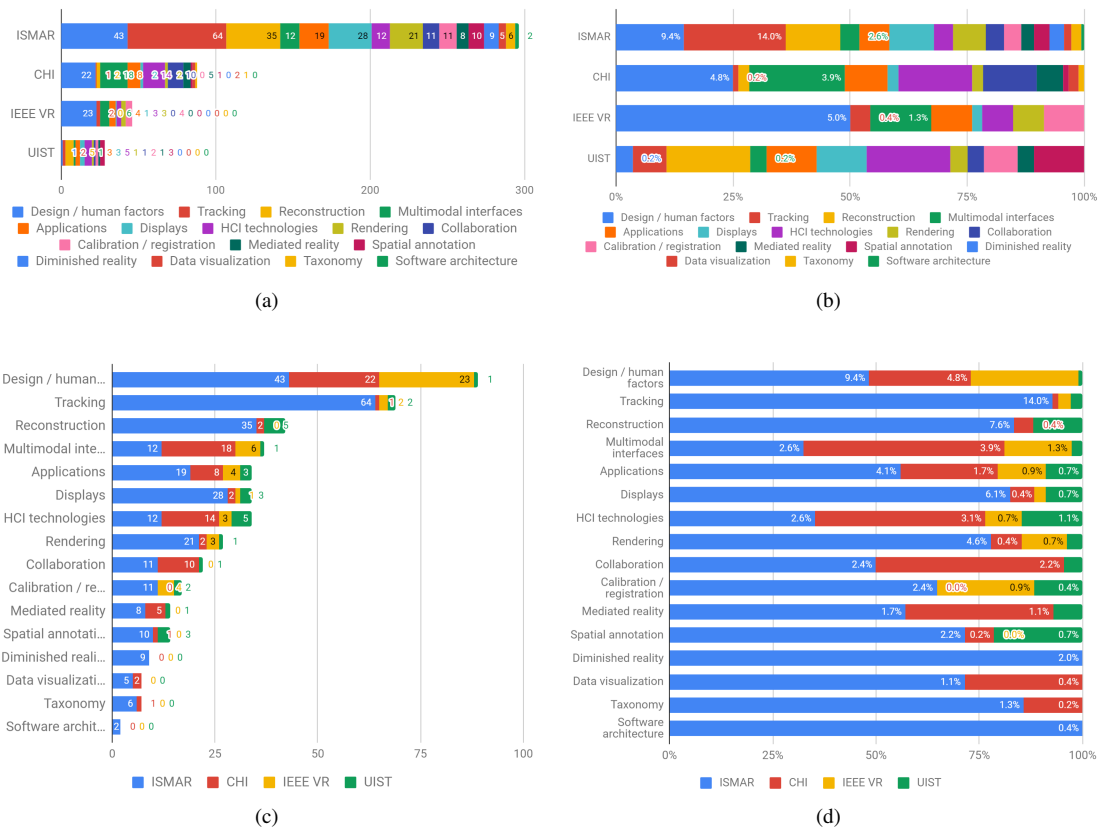


Figure 7: Absolute number and percentage of papers by venue and topic of interest.

2.4 Evaluation Scenarios per Venue



Figure 8: Absolute number and percentage of papers by venue and evaluation scenario.

2.5 Sample Distributions by Gender

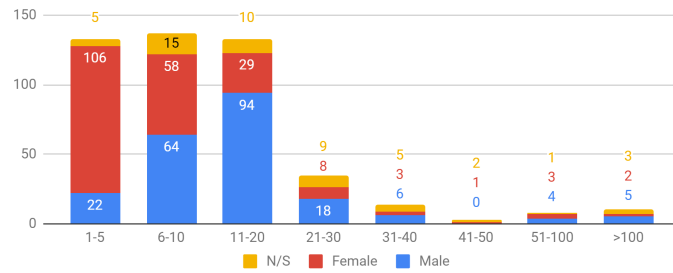


Figure 9: Histogram of participants by gender in evaluations in MR/AR.

2.6 Sample Sizes by Venue

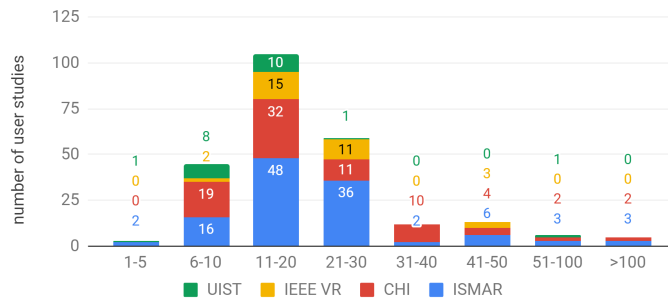


Figure 10: Histogram of participants in evaluations in MR/AR.

2.7 Cognitive Aspects

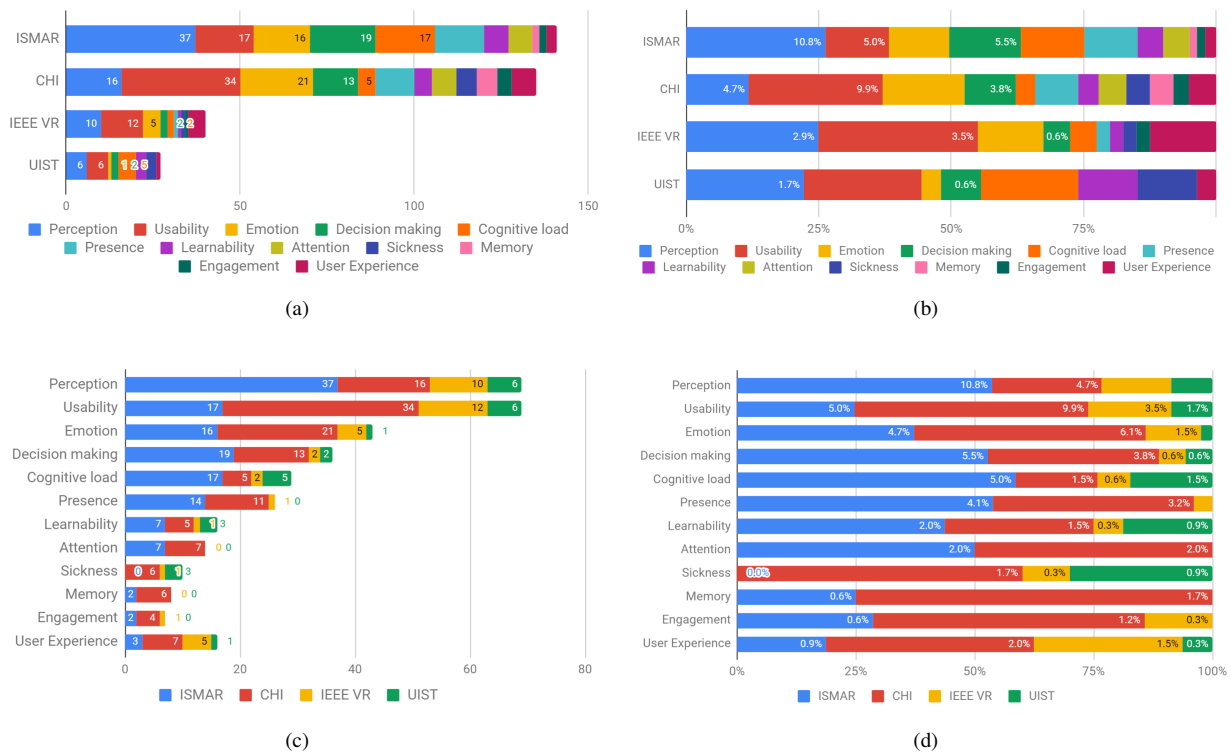


Figure 11: Absolute number and percentage of papers by venue and cognitive aspect.

3 TREND VISUAL ANALYSIS

3.1 Relevant Papers in the Survey

3.1.1 Overall Temporal Distribution of Papers

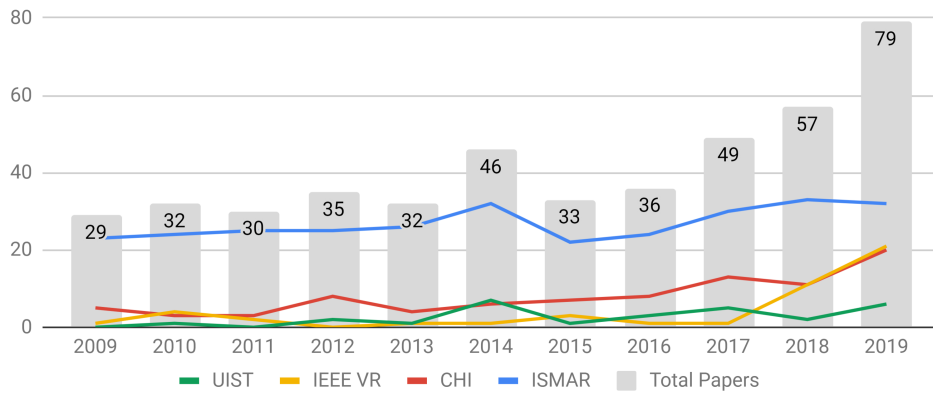


Figure 12: The 458 included papers in our survey by publication year are depicted as grey bars. Colored lines depict the distribution per venue (for more details on the individual venues; see Figure 13).

3.1.2 Temporal Distribution of Papers by Venue

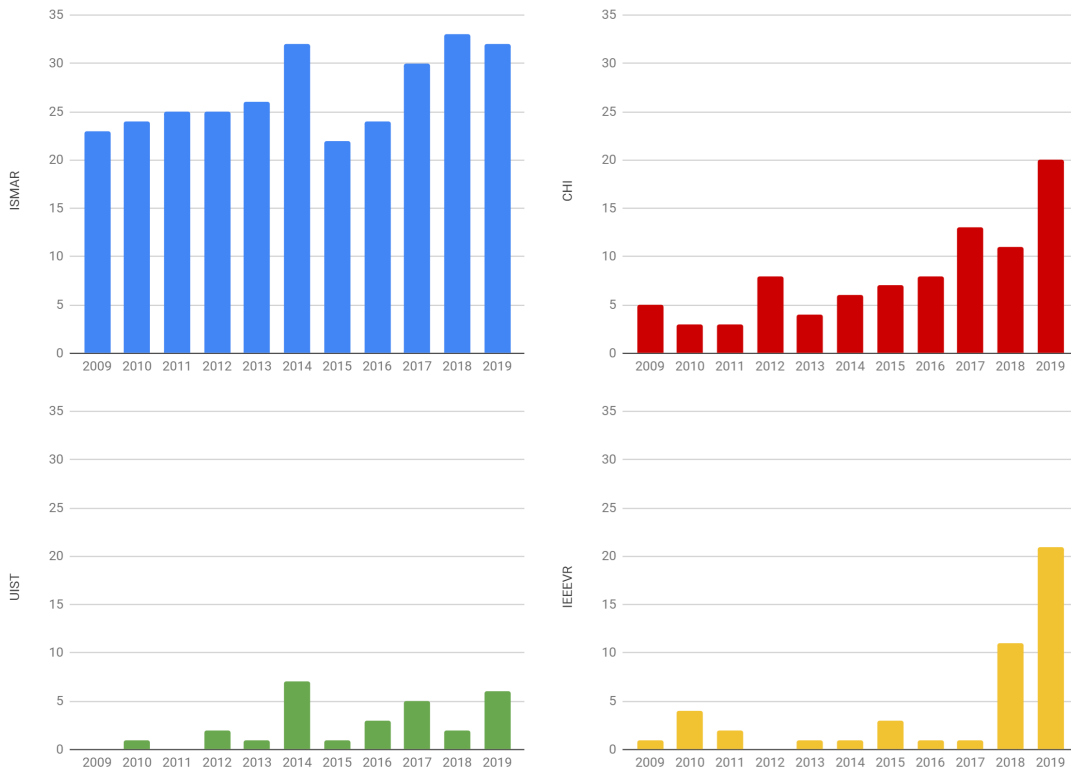


Figure 13: Four bar-charts depict the number of paper by publication year for each considered venue separately.

3.2 Evolution: Paper Types

3.2.1 Overall Evolution of Paper Types

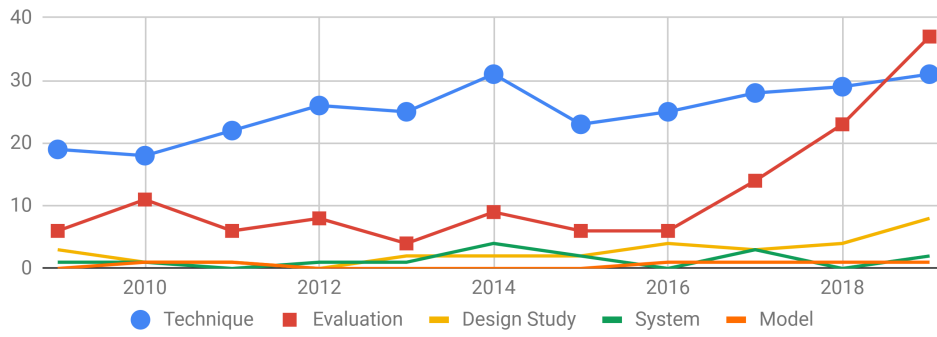


Figure 14: *Absolute* number of publications per year and paper type in 2009–2019.

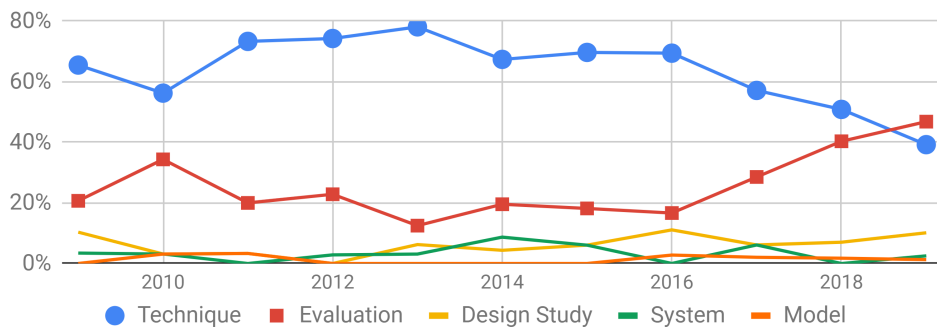


Figure 15: *Relative* ratios between paper types per year in 2009–2019.

3.2.2 Comparing Venues and Evolution of Paper Types

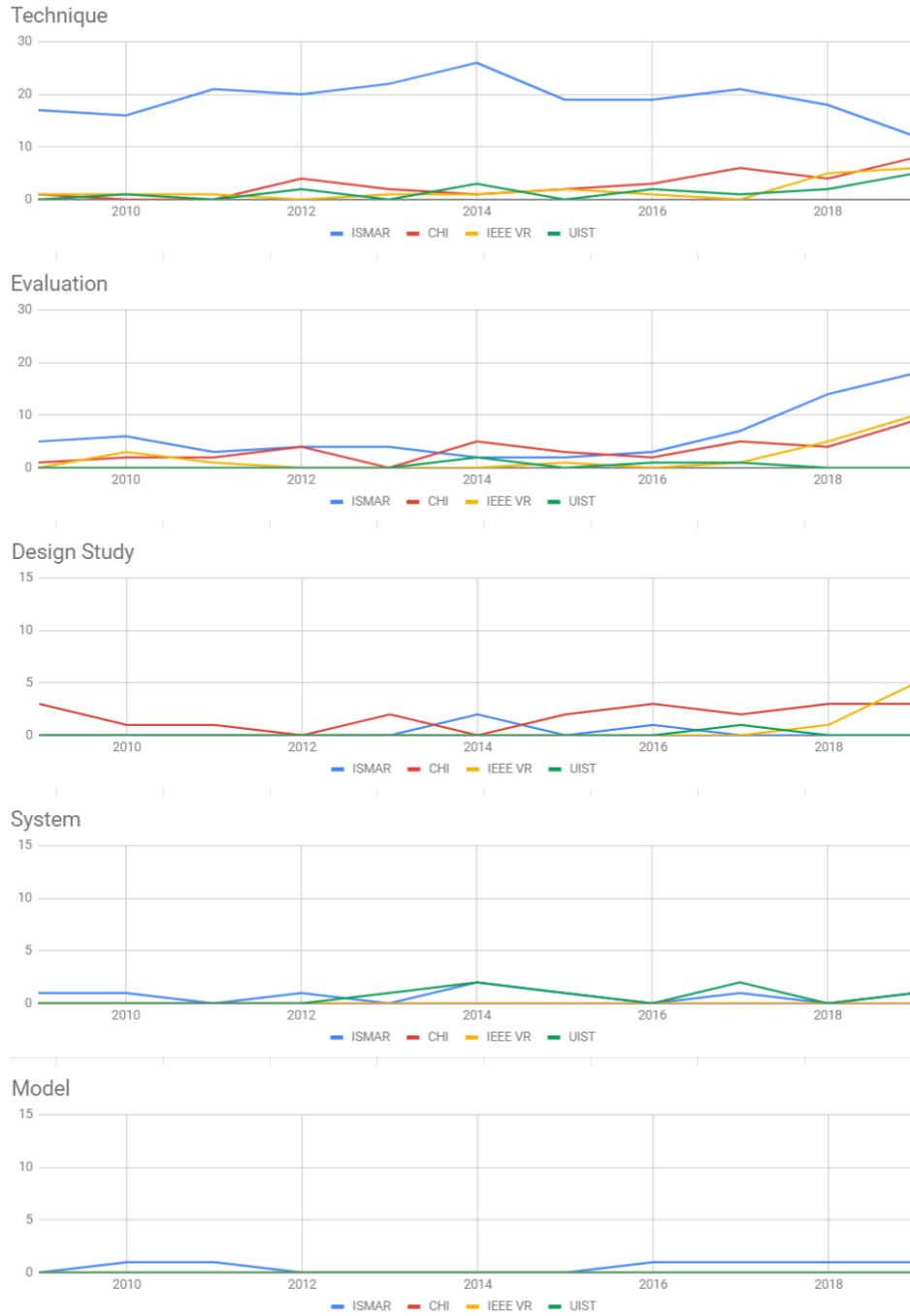


Figure 16: *Absolute* number of publications per year and venue for each paper type in 2009–2019. Note that the vertical axis for *Technique* has a larger scale than the others.

3.2.3 Comparing Paper Types and Evolution of Venues

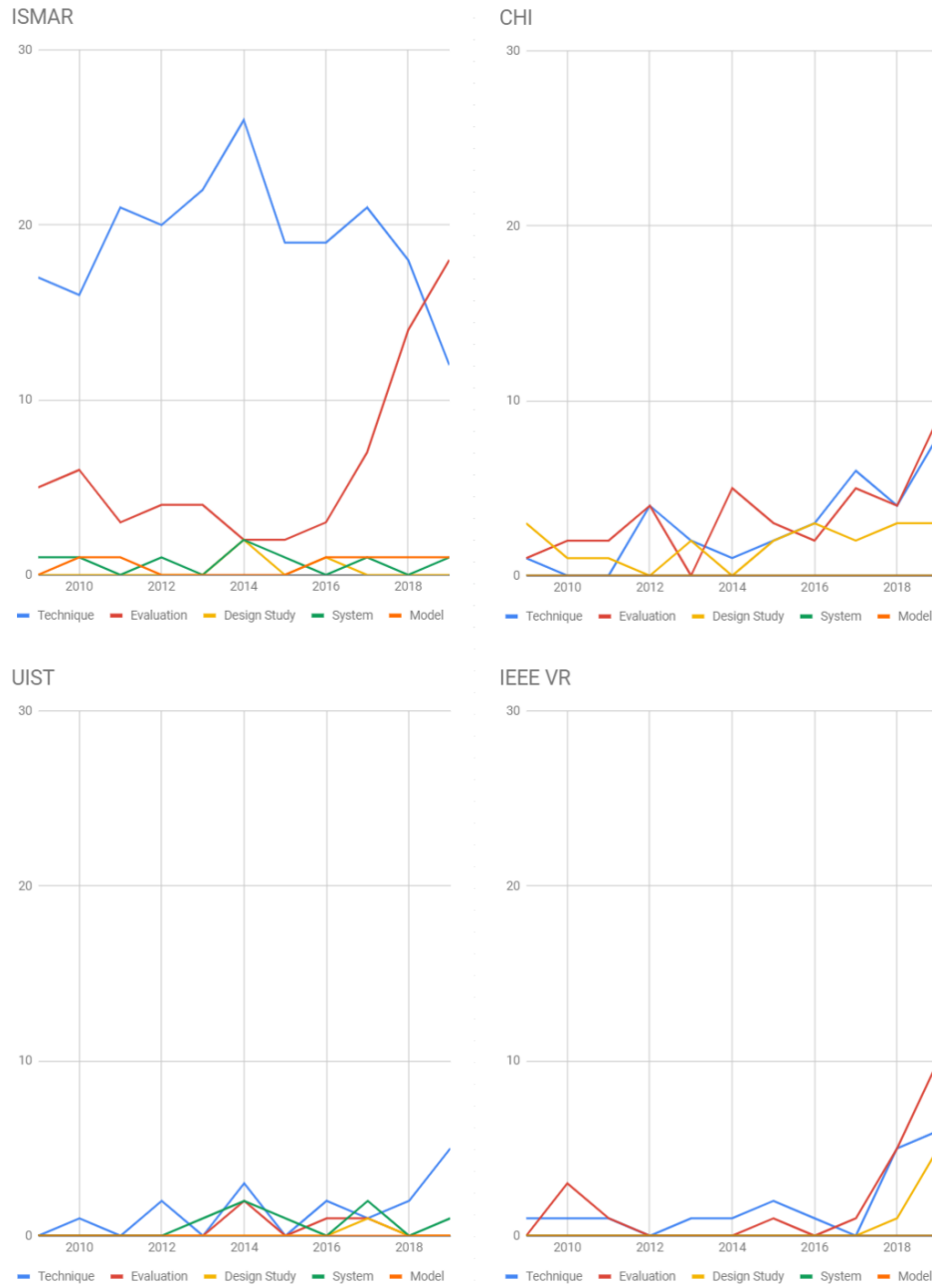


Figure 17: *Absolute* number of publications per year and paper type for each venue in 2009–2019.

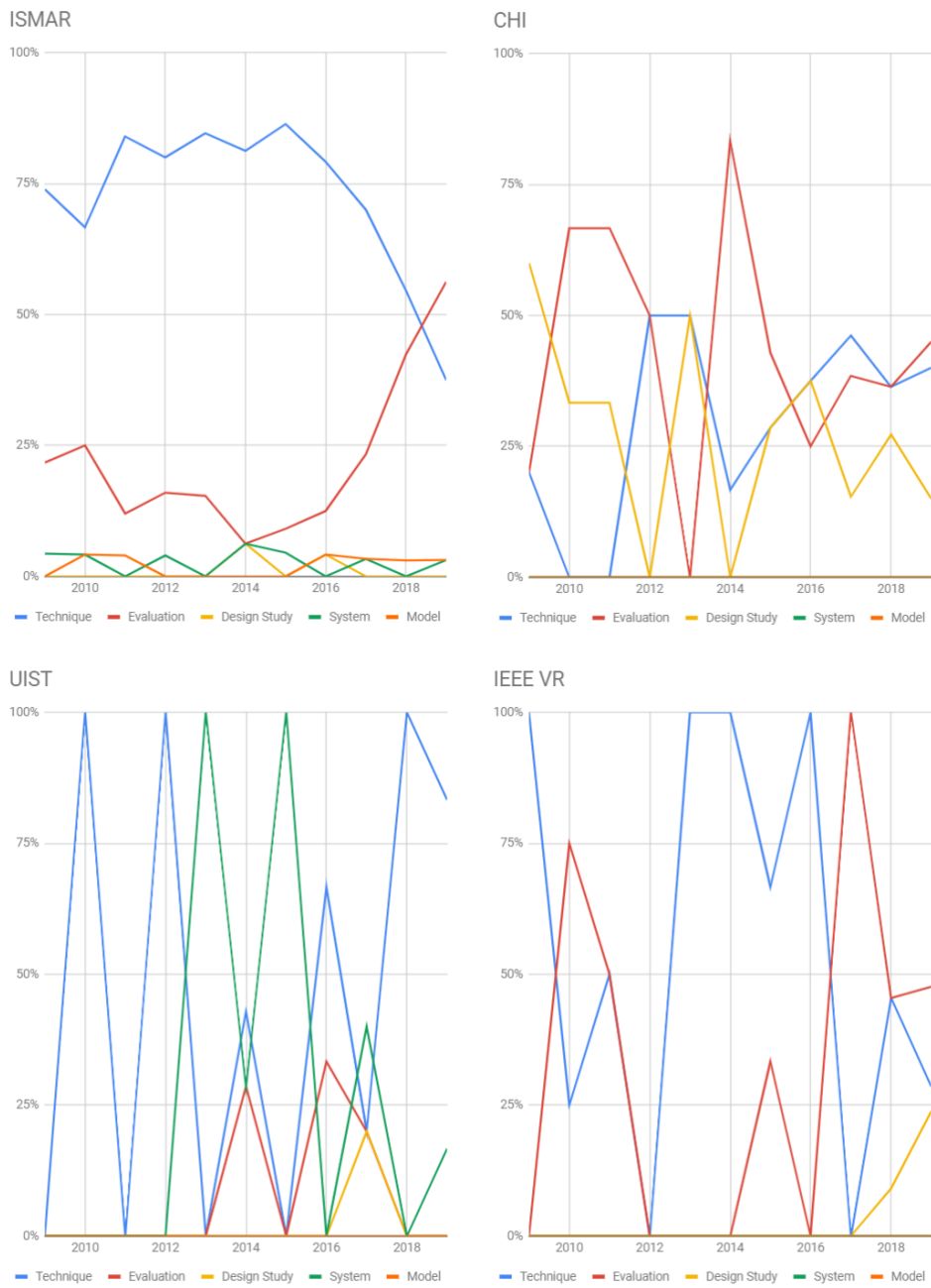


Figure 18: *Relative ratios between paper types per year for each venue in 2009–2019.*

3.3 Evolution: Research Topic

Table 2: Research Topics.

Tracking	Papers evolving around 3D tracking. It also contains most papers dealing with simultaneous localization and mapping, if the emphasis is on localization.
Reconstruction	Technical papers focusing on 3D reconstruction, either as a prerequisite for MR/AR applications (which will typically use the reconstructed models to derive some form of spatial annotation), or SLAM papers where the mapping part is most relevant.
Calibration/registration	Papers specifically focusing on spatial registration problems for real-time tracking. These papers will have a thematic overlap with tracking and reconstruction.
Rendering	Papers dealing with coherent rendering, in particular, global illumination for mixed realities, inverse rendering, and photometric registration.
Displays	Papers that deal with physical displays for MR/AR, mostly head-mounted displays and spatial AR.
HCI technologies	Papers discussing technical solutions to interaction problems.
Design/ Human factors	Papers dealing either with the design (and evaluation) of interaction techniques or with the study of human factors per se that occur in the context of MR/AR systems. One important group are perceptual issues, in particular, depth perception.
Applications	Papers exploring MR/AR interfaces in specific application use cases, covering both medical and non-medical applications.
Multimodal interfaces	Papers dealing with audio, haptics, and other non-visual modalities.
Collaboration	Papers describing collaborative MR/AR.
Mediated reality	Papers on changing the appearance of physical objects and scenes.
Spatial annotation	Papers that display semantic information registered to the real world, to instruct or guide the user. Difference to mediated reality is that mainly that the real objects remain mostly visible and are “augmented”, not “supplanted”.
Data visualization	Papers that elaborate on the display of data registered to the real world in an MR/AR display. Difference to spatial annotation is that the data undergoes a noteworthy visual encoding, as opposed to an annotation, which is usually visually trivial (such as a colored icon or text label).
Diminished reality	Papers on all kinds of techniques that make real things disappear or partially transparent.
Augmented virtuality	Papers on embedding real-world visuals (<i>e.g.</i> , from cameras) in virtual environments.
Taxonomy	Papers describing theoretical discussions and taxonomies.
Software architecture	Papers describing software architectures.

3.3.1 Overall Evolution of Research Topics

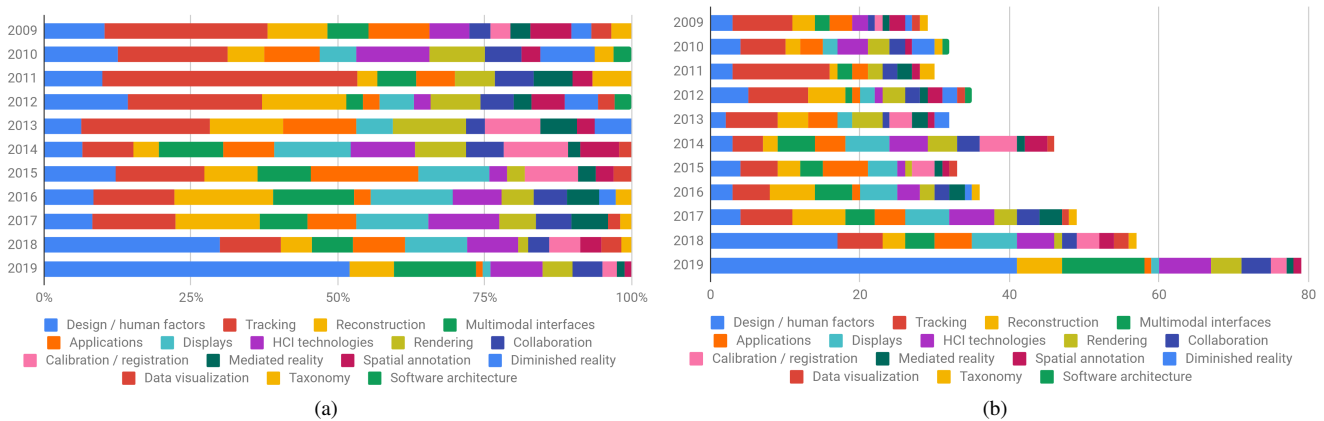


Figure 19: (a) Relative focus of research topics per year; (b) Absolute focus of research topics per year.

3.3.2 Overall Evolution of Research Topics



Figure 20: Evolution of each research topic separately, independent of venue. Note that the scale of the first two research topics (*Tracking* and *Design / Human Factors*) is larger.

3.3.3 Evolution of Research Topics by Venue

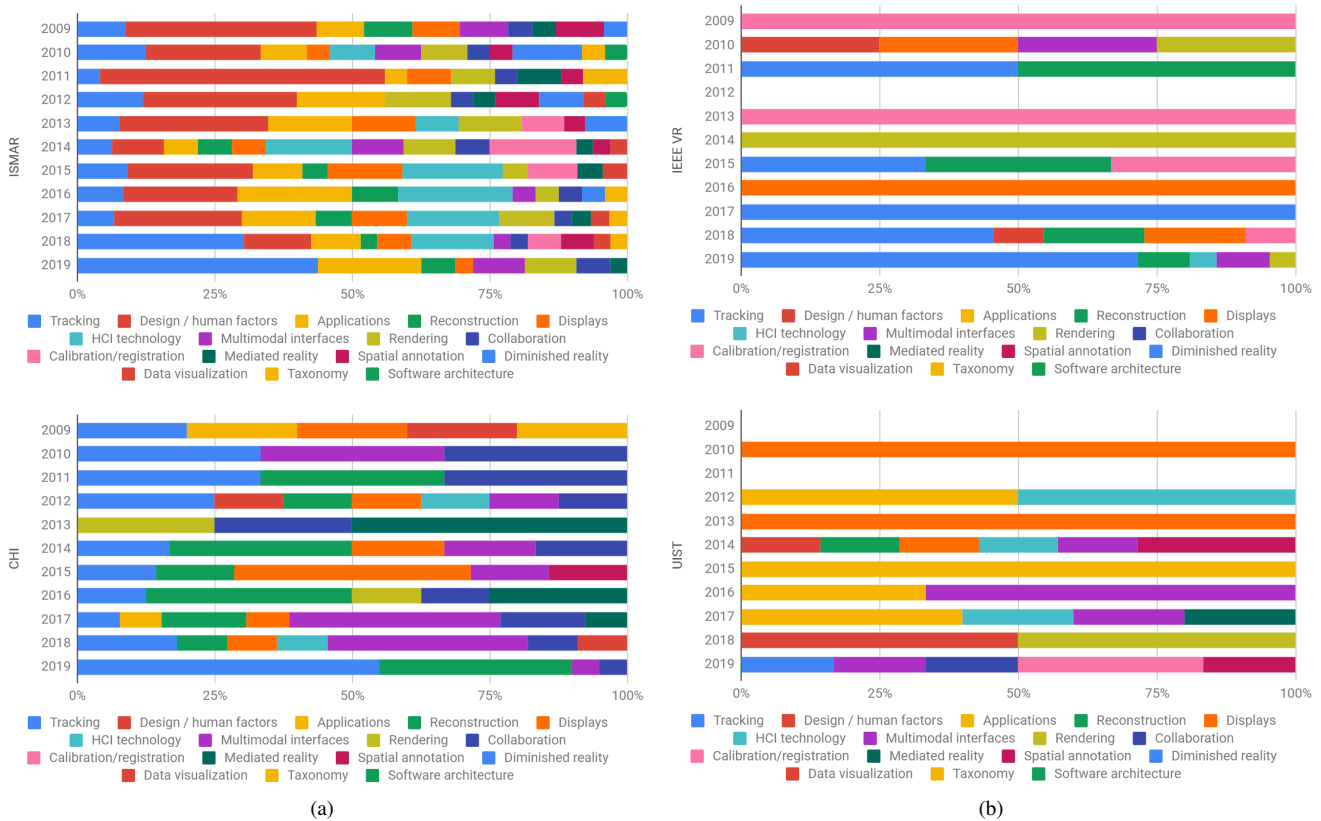


Figure 21: (a) Evolution of research topics grouped by venue. Depicts ratio between different research topics for each year; (b) Evolution of research topics grouped by venue.

3.4 Evolution: Evaluation Scenarios

3.4.1 Overall Evolution of Evaluation Scenarios

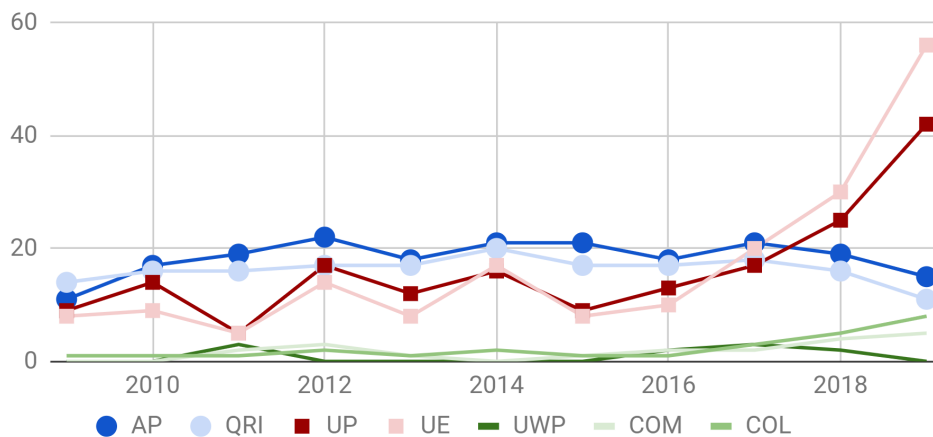


Figure 22: Trends of evaluation scenarios in MR/AR: Algorithm Performance (AP), Qualitative Results Inspection (QRI), User Performance (UP), User Experience (UE), Understanding environment and Work Practices (UWP), Team Communication (COM), and Team Collaboration (COL).

3.4.2 Evolution of Evaluation Scenarios per Venue

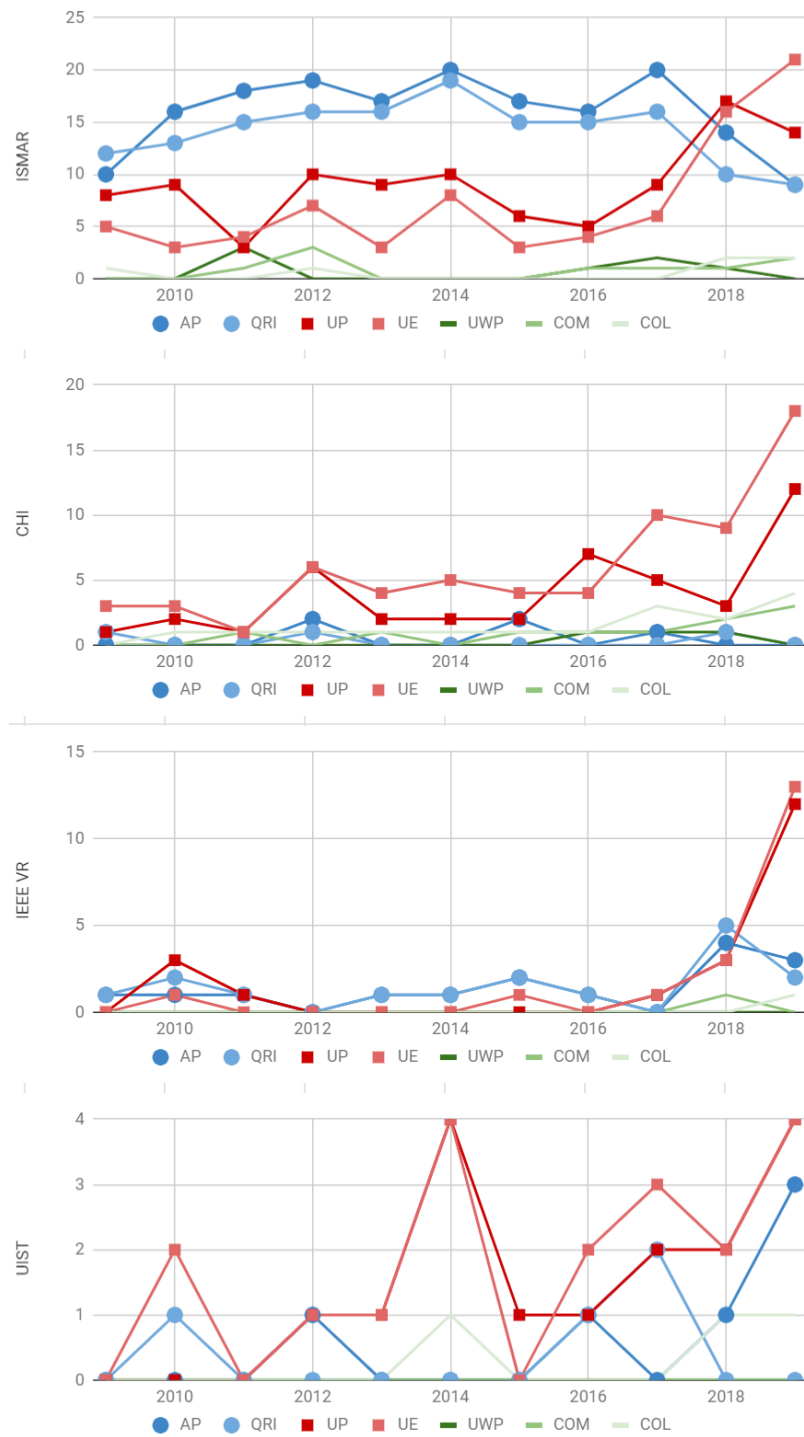


Figure 23: Trends of evaluation scenarios in 2009–2019 in MR/AR - grouped by venue.

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